The lifting of any freely suspended load, whether it be with a crane or an earthmoving machine, is a high risk activity.

There are a range of controls required for any lift. When conducting plant risk assessments using Plant Assessor, plant inspectors are prompted to consider a range of safe lifting controls, including rated capacity labelling.

In this article we are specifically interested in the lifting capacity labels required on earthmoving machines.

**Replacing SWL with Rated Capacity - 2002**

Australian Standard 1418.1 Cranes, Hoists and Winches details the requirements for cranes. It was revised in 2002, and part of this revision included replacing the term “safe working load” with “rated capacity”.

Any reference to the acronym SWL was also removed in this version of the standard.

In the preface of AS1418.1 the following insight is provided into the change –

“The term ‘safe working load’ has been changed to ‘rated capacity’ and other uses of the word ‘safe’ have been avoided due to the legal significance placed on the word.”

**Earthmoving Equipment - 2008**

Excavators, wheel loaders and backhoe loaders are types of earthmoving machines commonly used to lift freely suspended loads.

The applicable definition for “rated capacity” as it applies to earthmoving machinery able to lift freely suspended loads is in AS1418.8-2008 Cranes, hoists and winches – Special purpose appliances.

“The rated capacity shall be the maximum mass (expressed in kilograms) that may be handled at the maximum lift point radius, or reach, expressed in metres in the most adverse configuration for each lift point without the strength, hydraulic, and stability requirements being exceeded.

The rated capacity shall comprise the mass of the lifted load and the lifting attachments. Where a bucket is fitted, the rated capacity shall be established at the rated lift bucket position that results in the maximum radius.”

Below we set out some FAQs relating to rated capacity and how to make sure your machines are correctly labelled.

**What does that definition really mean?**

It means that a machine’s rated capacity is the maximum weight that it can be used to lift in the weakest possible configuration. As an example, for an excavator, the rated capacity is maximum load that can be lifted over side at full reach on the maximum allowable slope.
How is the rated capacity calculated?

When calculating the rated capacity there are two key machine features that must be considered, stability and hydraulic capacity. The rated capacity must not be greater than -

1. 87% of the hydraulic capacity at maximum reach/radius
2. The appropriate percentage of the tipping load that has to be applied to tip the machine as per table 5.5 in AS1418.8 section 5
   - 1. Stationary lifting = 75% of tipping load
   - 2. Pick and carry = 66% of tipping load unless the machine is articulated then it is 50%

I don’t get it, my machine can lift 5000kg but the rated capacity label says 900kg?

Since the change to the Australian Standard, there is only one figure for rated capacity. Previously machines were supplied with a chart that detailed the maximum load that could be lifted at varying distances. Some machines are still supplied with this chart.

Since 2008, AS1418.8 only requires a lifting chart to specify one weight in kilograms and one distance in metres, namely the maximum reach of the machine.

Do we need to put a rated capacity decal on the side of the boom?

If the machine is fitted with a lifting point from which freely suspended loads can be lifted the standard requires that the rated capacity shall be permanently displayed in a prominent position near the lifting point.

Labelling should be provided on both sides of the machine.

Given that it is foreseeable that a lifting point could be fitted to most of the earthmoving machines commonly used to lift freely suspended loads, Plant Assessor considers rated capacity labelling to be a minimum requirement for these machine types.

If there is no lifting point fitted and no chance that one could be fitted it could be argued that the label need not be fitted.

What decal should we be using?

The decal should include the words RATED CAPACITY, a mass in kilograms followed by the letters kg. “Rated Capacity 3500 kg”.

How big should the decal be?

AS 1319-1994 Safety Signs for the Occupational Environment contains guidance which Plant Assessor has used to determine the minimum size of the lettering in a rated capacity label for an earthmoving machine. AS1319-1994 requires lettering to be 15mm for every metre of viewing distance.

Plant Assessor has determined that the minimum height of rated capacity lettering should be 75mm. This determination has been made on the basis that the label needs to be viewable from 5 metres, hence 5m x 15mm = 75mm.
Does the rated capacity include or exclude attachments?

Rated capacity information should be obtained from the manufacturer of the machine in question, and is usually contained in the machine specifications contained in the operator’s manual.

The manual should provide advice as to whether the rated capacity has been calculated whilst an attachment is attached. Normally it excludes attachments as the weight of attachments can vary unless the lifting point is fitted to the actual attachment such as a quick hitch.

If a lift is to be undertaken whilst an attachment is still attached, the weight of any attachment needs to be subtracted from the rated capacity figure.

For example, if an excavator has a rated capacity of 1,000kg excluding attachments, and a lift is undertaken whilst a 200kg bucket is attached, the rated capacity will be (1,000kg – 200kg = 800kg).

What about customers or sites that insist on either SWL or WLL?

These acronyms are not used in any of the AS1418 series of standards or in legislation. Plant Assessor does not use or recommend use or either in relation to earthmoving machines used as cranes.

What does the law say we should do? Is this a mandatory requirement?

Compliance with the AS1418 set is not mandatory, however Workplace Health and Safety Legislation places a positive duty on persons conducting a business or undertaking (PCBU) to:

- Provide information and training on the safe use of machines
- Make themselves aware of guidance and other information available in exercising their duty of care

So whilst compliance with the requirements of this set of Standards is not mandatory, they provide clear and detailed guidance on the management of lifting devices and safe lifting. A PCBU who is unaware of, or chooses to ignore such guidance does so at their own peril.

What if my machine has a SWL label, not a rated capacity label?

Strict interpretation of the Standard concludes that it must have a rated capacity label fitted.

At Plant Assessor, our position is that a SWL label, whilst it does not comply with the strict wording or calculations of the standard, does provide information relevant to assist in the conduct of safe lifting.

As a result of this, Plant Assessor’s position is that machines with legible and suitably sized SWL labels do comply with the essential intent of this part of the Standard, and therefore are accepted as compliant.

Further Questions?

If you have any questions relating to this topic that have not been covered above, please contact us to obtain further information.